

**SECRET**

1:25-cr-00022-SDN

**Synopsis – Indictment**

<b>Name:</b>	KEN YIU
<b>Address: (City &amp; State Only)</b>	New York, NY
<b>Year of Birth and Age:</b>	1976 (48)
<b>Violations:</b>	<p><u>Count 1:</u> Making a False Statement and Report, in violation of 18 U.S.C. § 1014 (Class B Felony)</p> <p><u>Count 2:</u> Bank Fraud, in violation of 18 U.S.C. § 1344 (Class B Felony)</p> <p><u>Count 3:</u> Drug-involved premises, in violation of 21 U.S.C. § 856(a) (Class C Felony)</p>
<b>Penalties:</b>	<p><u>Count 1:</u> Imprisonment of not more than 30 years, a fine not to exceed \$1,000,000, or both. 18 U.S.C. § 1014.</p> <p><u>Count 2:</u> Imprisonment of not more than 30 years, a fine not to exceed \$1,000,000, or both. 18 U.S.C. § 1344.</p> <p><u>Count 3:</u> Imprisonment of not more than 20 years, a fine not to exceed \$500,000, or both. (21 U.S.C. § 856(b))</p>
<b>Supervised Release:</b>	<p><u>Count 1:</u> Not more than 5 years. 18 U.S.C. § 3583(b)(1).</p> <p><u>Count 2:</u> Not more than 5 years. 18 U.S.C. § 3583(b)(1).</p> <p><u>Count 3:</u> Not more than 3 years. 18 U.S.C. § 3583(b)(2).</p>
<b>Maximum Term of Imprisonment for Violation of Supervised Release:</b>	<p><u>Count 1:</u> Not more than 3 years. 18 U.S.C. § 3583(e)(3).</p> <p><u>Count 2:</u> Not more than 3 years. 18 U.S.C. § 3583(e)(3).</p> <p><u>Count 3:</u> Not more than 2 years. 18 U.S.C. § 3583(e)(3).</p>
<b>Maximum Add'l Term of Supervised Release for Violation of Supervised Release:</b>	<u>Count 1:</u> Five years, less any term of imprisonment that was imposed upon revocation of supervised release. 18 U.S.C. § 3583(h).

	<p><u>Count 2</u>: Five years, less any term of imprisonment that was imposed upon revocation of supervised release. 18 U.S.C. § 3583(h).</p> <p><u>Count 3</u>: Three years, less any term of imprisonment that was imposed upon revocation of supervised release. 18 U.S.C. § 3583(h).</p>
<b>Defendant's Attorney:</b>	To be assigned.
<b>Primary Investigative Agency and Case Agent Name:</b>	HSI Special Agent Pierre Mathieu
<b>Detention Status:</b>	N/A
<b>Foreign National:</b>	U.S. Citizen
<b>Foreign Consular Notification Provided:</b>	N/A
<b>Counties:</b>	Kennebec
<b>AUSA:</b>	AUSAs Andrew Lizotte and Alisa Ross
<b>Guidelines apply?</b>	Yes
<b>Victim Case:</b>	Yes
<b>Corporate Victims Owed Restitution?</b>	Yes
<b>Assessment(s):</b>	\$100.00 per count - 18 U.S.C. § 3013(a)(2)(A)
<b>Forfeiture? Y/N</b>	Yes